

Policy: 2.2	Access to Confidential Information
-------------	---

Policy Statement:

Orange and District Early Education Program (ODEEP) is committed to transparency in its operations and to ensuring it is open to public scrutiny. It must also balance this with upholding the rights of individuals to privacy and of the organisation to confidentiality on sensitive corporate matters.

ODEEP will prevent unauthorised persons gaining access to an individual's confidential records and permit individuals' access to their own records when this is reasonable and appropriate.

Accordingly, access to some ODEEP documents and records will be limited to specified individuals and not be available to others for viewing.

This policy applies to the internal records, client records and unpublished materials of ODEEP.

Responsibilities and delegations	
This policy applies to	The ODEEP Board Management, ODEEP staff and volunteers and ODEEP families and contractors.
Specific responsibilities	This policy is developed by the CEO and reviewed by ODEEP staff and Board of Management. It is communicated and implemented by the CEO and staff.
Policy approval	ODEEP CEO.

Policy context – this policy relates to:	
Standards	NDIS Practice Standards and Quality Indicators 2018 NDIS Code of Conduct Education and Care National Quality Standards
Legislation	NDIS Act 2013 Children (Education and Care Services) National Law NSW 2010 Education and Care Services National Regulations 2011 Federal Privacy Act 1988 and Privacy Amendment (Notifiable Breaches) Act 2017 Privacy and Personal Information Protection Act 1998 (NSW) Child Protection (Working with Children) Act 2012
Organisation policies	1.6 Privacy 2.1 Confidentiality 1.8 Child Protection and Reportable Incidents 1.9 Incident Management and Procedures 2.4 Feedback and Complaints Governance Policy 6: Managing Contracts and Funding
Forms, record keeping, other documents	NDIS ODEEP Service Agreement Echidna Manual

	Family Enrolment and Consent form ODEEP Services and Support Document Staff Induction Manual Staff and Board meeting minutes templates Board membership information
--	---

Procedures:

Client records

Client records will be confidential to clients and to all ODEEP staff which includes volunteers and students on placement with ODEEP.

Information about clients may only be made available to other parties with the consent of the parent/carer of the client, except in the case of a subpoena or child protection issue where access to client records will be given in accordance with ODEEP's legal obligations.

All client records will be kept secure electronically using the password protected CRMS system (Echidna) and any hard copies stored in a locked filing cabinet and updated, archived and destroyed according to the legal requirements and organisation's client records policy.

Parent/Carers of clients have access to their personal information, NDIS Service Reports and assessments. Staff working notes, and in some cases documentation about concerns related to child safety are not available for client access. ODEEP may have documents in client files from external agencies marked "not to be released to a third party". ODEEP is not able to provide access to these as they must be directly accessed from the author.

In rare cases the ODEEP CEO may decide to deny access to confidential information if it is deemed that access to this information may lead to serious harm to the individuals about whom the information refers.

Board of Management

ODEEP Management committee minutes will be open to the members of the organisation once accepted by the Management committee, except where the management committee passes a motion to make any specific content confidential.

All papers and materials considered by the Board of Management will be open to members of the organisation following the meeting at which they are considered, except where the Board passes a motion to make any specific paper or material confidential.

The minutes, papers and materials from Sub-Committee meetings will be open to governing body/management committee members and senior staff (CEO).

ODEEP membership records

A list of current ODEEP members will be available on request to the ODEEP CEO. Personal information about members (including address and contact details) is confidential and may only be accessed by the ODEEP CEO, ODEEP Practice Manager and the ODEEP Office Manager.

Personnel files

A personnel file is held for each staff member electronically and in a locked filing cabinet and contains:

- contact details including emergency contact details.
- a copy of the employee's Employment Agreement.
- correspondence relating to the employee's recruitment, variations to employment, position description, salary changes, leave entitlements, supervision and support meetings including appraisals, training and professional registration as necessary.

Access to personnel information is restricted to:

- the individual staff member accessing their own file.
- the ODEEP CEO, Practice Manager and Office Manager.
- In rare cases the ODEEP CEO may decide to deny access to confidential information if it is deemed that access to this information may lead to serious harm to the individuals about whom the information refers.

Access to personnel payroll details is restricted to:

- The ODEEP CEO and in the event of the absence of the ODEEP CEO the Practice Manager.
- The ODEEP Office Manager and Finance Officer.

Corporate records

Corporate records are those that contain confidential or commercially sensitive information about the organisation's business. They include:

- the financial accounts and records.
- taxation records.
- correspondence with regulators.
- Records of staff or other internal meetings.
- project management files.
- contracts between the organisation and other parties.
-

Access to these records is limited to the ODEEP CEO, Practice Manager, Office Manager, ODEEP Finance Officer, the Auditor, the Chairperson and Treasurer of the Board of Management.

Requests for access – general records

All records and materials not falling into the categories above may be released to the public at the discretion of the ODEEP CEO.

Any request for access to information should be directed to the ODEEP CEO who will:

- make available to staff, Board members or other ODEEP Members information that they are entitled to access.

In considering a request, the ODEEP CEO will take into consideration:

- a general presumption in favour of transparency.
- the relevant provisions of the ODEEP constitution regarding information to be made available to ODEEP members.
- the business, legal, and administrative interests of ODEEP including commercial confidentiality and privacy obligations.

Where an external party requests access to information that requires staff to devote time to collating, copying or otherwise making material accessible, the Office Manager may determine a fee to be

charged.

Requests for access - client records

All parents/carers who are authorised to access records and information about their child and their family have the right to access their Service Reports, assessments and personal information, and where necessary to advise the organisation about inaccuracies.

Procedures for access:

- parents/carers are informed of their right to access this information and of the individual staff member who will assist them upon enrolment at ODEEP.
- the staff member documents the family request to access the relevant documents on Echidna.
- in the case of the identified staff member being unavailable the ODEEP CEO or Practice Manager will handle the request.
- parents/carers are informed of their right to request copies of Service reports and assessments when they leave ODEEP.
- parents/carers may contact ODEEP and request copies of documents from their child's files once they are no longer with the service up to when their child reaches 25 years of age. The Office Manager handles these requests.
- parents/carers are advised of complaint procedures upon enrolment.
- parents/carers can work with their identified staff member to change records they believe to be inaccurate or misrepresenting, when appropriate.

Requests for information about clients from outside agencies or individuals will be referred to the ODEEP CEO. Before any information is released the ODEEP CEO will ensure that the client concerned is contacted to obtain consent. In the case of child protection issues or reportable incidents information may be released without client consent in line with ODEEP's legal obligations.

Appeals

Individuals who are refused access to their own records or information files may appeal by contacting the ODEEP CEO who will review the decision in the context of ODEEP policies.

All policies are reviewed on a continual basis as gaps and need arises or otherwise on an annual basis.

Record of policy development		
Version	Date approved	Date for review
Version 2	October 2020	October 2021
Version 3	September 2021	September 2022
Version 4	October 2022	October 2023